



PTO/SB/21 (08-00)  
MODIFIED  
*TC 2682*

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

*5*

Application Number 09/920,240

Filing Date 08/01/2001

First Named Inventor Pierte ROO

Group Art Unit 2682

Examiner Name Eugene Yun

Attorney Docket Number MP0039.CIP

## ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Credit Card Authorization
- Amendment / Reply
  - After Final
  - Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
  - Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Associate Power of Attorney
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) \_\_\_\_\_

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name Andrew J. Bateman  
Registration No.: 45,573

Signature

*Andrew J. Bateman*

Date

07/19/2005

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

Signature

Date

O I P E JC98  
JUL 19 2005  
PATENT & TRADEMARK OFFICE

# FEETRANSMITTAL

## for FY 2005

Effective 10/01/2005. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** **(*\$*) 180.00**

### Complete if Known

Application Number	09/920,240
Filing Date	08/01/2001
First Named Inventor	Pierte ROO
Examiner Name	Eugene Yun
Art Unit	2682
Attorney Docket No.	MP0039.CIP

### METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account **50-1710**

Account Name **KATTEN MUCHIN ROSENMAN, LLP**

### The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge above Deposit Account with any additional fees necessary UNDER 37 CFR 1.16 AND/OR 1.17 to maintain pendency of this application.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Description	Fee Paid
1001 300	2001 150	Utility Filing Fee	300.00
1111 500	2111 250	Utility Search Fee	500.00
1311 200	2311 100	Patent Examination Fee	200.00
		SUBTOTAL (1)	<b>(<i>\$</i>) 0.00</b>

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee	Fee Paid
-	- 20** =	x 50.00 =	
Independent	- 3** =	x 200.00 =	
Multiple Dependent		360.00 =	

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Description
1202 50	2202 25	Claims in excess of 20
1201 200	2201 100	Independent claims in excess of 3
1203 360	2203 180	Multiple dependent claim, if not paid
1204 200	2204 100	** Reissue independent claims over original patent
1205 50	2205 25	** Reissue claims in excess of 20 and over original patent
		SUBTOTAL (2) <b>(<i>\$</i>) 0.00</b>

\*\* or number previously paid, if greater; For Reissues, see above

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code \$	Fee Code \$	Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 120	2251 60	Extension for reply within first month	
1252 450	2252 225	Extension for reply within second month	
1253 1,020	2253 510	Extension for reply within third month	
1254 1,590	2254 795	Extension for reply within fourth month	
1255 2,160	2255 1,080	Extension for reply within fifth month	
1401 500	2401 250	Notice of Appeal	
1402 500	2402 250	Filing a brief in support of an appeal	
1403 1,000	2403 500	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 500	2452 250	Petition to revive - unavoidable (1.17(l))	
1453 1,500	2453 750	Petition to revive - unintentional (1.17(m))	
1501 1,400	2501 700	Utility issue fee (or reissue)	
1502 800	2502 400	Design issue fee	
1503 1,100	2503 550	Plant issue fee	
1460 130	1460 130	Petitions to the Director	
1807 50	1807 50	Processing fee - provisional app (1.17(q))	
1806 180	1806 180	Submission of Information Disclosure Stmt	180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 790	2809 395	Filing a submission after final rejection (1.129(a))	
1814 130	2814 65	Statutory Disclaimer	
1801 790	2801 395	Request for Continued Examination (RCE)	
1802 900	1802 900	Req for expedited examination - Design App	
		Other fee (specify)	

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (*\$*) 180.00**

### SUBMITTED BY

Name: Andrew J. Bateman

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Signature: Andrew J. Bateman

Date: 07/19/2005



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 Pierte ROO ) Examiner: Eugene YUN  
 Application No.: 09/920,240 ) Group Art Unit: 2682  
 Filed: August 1, 2001 ) Confirmation No.: 4035  
 For: ACTIVE RESISTIVE SUMMER FOR ) Date: July 19, 2005  
 A TRANSFORMER HYBRID )

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 CFR § 1.56 and in accordance with the practice under 37 CFR §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed PTO-1449.

In accordance with 37 CFR § 1.97(h), this Information Disclosure Statement is not to be construed as an admission that the information cited is or is considered to be material to patentability as defined in 37 CFR § 1.56(b), nor as an admission that the information constitutes prior art within the meaning of 35 USC §§ 102 and/or 103.

It is respectfully requested that the information listed on the PTO-1449 be considered by the Examiner, and that an initialed copy of the PTO-1449 be returned indicating that such information was considered.

Authorization for payment of the IDS fee of \$180.00 is being filed concurrently herewith.

Should the Examiner have any questions, Applicant's undersigned attorney is reachable by telephone in our Washington, D.C. office at (202) 625-3547. The correspondence address of record is provided below.

07/20/2005 HALI11 00000140 09920240

01 FC:1806 180.00 0P

Respectfully submitted,

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By:

  
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